

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	214799US0CONT
	First Inventor or Application Identifier	Hartwig L. E.
	Title	STABLE AND HIGH SOLIDS AQUEOUS DISPERSIONS OF BLOCKED POLYISOCYANATES
Assignee Name: DEGUSSA-HUELS AKTIENGESELLSCHAFT		
Assignee Address: Weissfrauenstrasse 9, D-60287 Frankfurt am Main, GERMANY		

10/19/01

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contentsADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit with an original and a duplicate for fee processing)

2. ☒ Specification Total Sheets **37**

3. ☐ Formal Drawing(s) (35 U.S.C. 113) Total Sheets

4. ☒ Oath or Declaration Total Pages **4**

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ Paper

c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents)
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations (3)
12. ☒ Preliminary Amendment w/Marked-Up Copy
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Applicant claims small entity status. See 37 CFR 1.27
16. ☒ Other: Request for Priority

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/567,979

Prior application information: Examiner: R. GORR

Group Art Unit: 1711

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. 09/567,979 Filed on May 10, 2000, pending, which is a continuation of application Serial No. 08/958,398, filed October 29, 1997 (now U.S. Patent No. 6,096,805, issued August 1, 2000).

☐ Which was published in English

☐ Which was not published in English

☐ This application claims priority of provisional application Serial No.

Filed

19. CORRESPONDENCE ADDRESS**22850**

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Norman F. Oblon	Registration No.:	24,618
Signature:	<i>Frederick D. Vastine</i>	Date:	10/19/01
Name:	Frederick D. Vastine, Ph.D.	Registration No.:	27,013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Hartwig LANGE, et al.

SERIAL NO: NEW APPLICATION

FILING DATE: HERewith

FOR: STABLE AND HIGH SOLIDS AQUEOUS DISPERSIONS OF BLOCKED POLYISOCYANATES

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	8 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$84 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$740.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$740.00

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of \$740.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Norman F. Oblon

Registration No. 24,618

Frederick D. Vastine, Ph.D.

Registration No. 27,013

Date: 10/19/01**22850**Tel. (703) 413-3000
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Docket No. 214799US0CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Hartwig LANGE, et al.

SERIAL NO: NEW APPLICATION

FILING DATE: HEREWITH

FOR: STABLE AND HIGH SOLIDS AQUEOUS DISPERSIONS OF BLOCKED POLYISOCYANATES

U.S. PTO
09/982237



FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	8 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$84 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
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A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

FD Vastine
Norman F. Oblon

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Date: 10/19/01



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hartwig LANGE, et al.

SERIAL NO: NEW APPLICATION

FILED: HEREWITH

FOR: STABLE HIGH SOLIDS AQUEOUS DISPERSIONS OF BLOCKED POLYISOCYANATES

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231



SIR:

- ☒ Full benefit of the filing date of U.S. Application Serial Number 09/567,979, filed May 10, 2000, which is a continuation of U.S. Application Serial Number 08/958,398, filed October 29, 1997 (now U.S. Patent Number 6,096,805, issued August 1, 2000), is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
GERMANY	196 44 838.7	October/29/1996

Certified copy of the corresponding Convention Application

- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☒ was filed in prior application U.S. Application Serial Number 09/567,979, filed May 10, 2000, which is a continuation of U.S. Application Serial Number 08/958,398, filed October 29, 1997 (now U.S. Patent Number 6,096,805, issued August 1, 2000).
- ☐ were submitted to the International Bureau in PCT Application Number .
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
(B) Application Serial No.(s)
 - ☐ are submitted herewith
 - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

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Registration No. 24,618

Frederick D. Vastine, Ph.D.
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